

Case Study

UiPath-Powered Intelligent Automation Driving Efficiency and Control in Regulated Environments

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Case Studies Series

Synaptein Solutions

Client Overview

A global financial institution partnered with Synaptein to modernize its **risk management and compliance processes**. The client needed to automate complex workflows across **policy management, crisis response, and vendor risk** while ensuring regulatory compliance and scalability.

Business Challenge

The client's challenges included:

- **Manual, fragmented workflows** across policy approvals, crisis management, and vendor oversight.
 - **Slow reporting cycles**, requiring significant manual effort to generate compliance reports.
 - A need for a **scalable automation model** that could support multiple business units globally.
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Solution Approach: UiPath RPA

Synaptein deployed **UiPath Robotic Process Automation (RPA)** to transform end-to-end processes:

1. Policy and Crisis Management Automation

- UiPath bots automated document reviews, approvals, and crisis escalation notifications.
- Reduced turnaround time from days to hours.

2. Vendor Risk & CIP Reporting

- Built automations to collect, validate, and consolidate vendor risk data.
- Automated reporting pipelines delivered **real-time dashboards** with compliance snapshots.

3. Reusable Automation Framework

- Designed a modular UiPath automation framework, enabling reuse across multiple processes.
- Integrated with Oracle databases, Empowered GRC software, and reporting systems.

4. Training & Adoption

- Developed a “train the trainer” model to scale UiPath adoption.
- Enabled client teams to build and maintain automations independently.

Outcomes & Impact

- **40% faster compliance reporting cycles** with automated data gathering and dashboards.
- **70% reduction in manual effort** across policy approval and crisis management workflows.
- Improved **accuracy and consistency** of vendor risk reporting.
- Established a **scalable UiPath automation pipeline** with prioritized use cases for future expansion.
- Received a **Letter of Appreciation** from the client for training and knowledge transfer success.

Technologies Used

- **UiPath RPA Platform** (Orchestrator, Studio, Robots)
- **Oracle & Empowered GRC Software** integration
- **Java / Web Services** for connectivity
- **BI Dashboards** for visualization

Why Synaptein

With proven expertise in **UiPath RPA deployment, risk management processes, and automation governance**, Synaptein enabled the client to achieve **rapid ROI**, improved compliance, and a sustainable automation program.